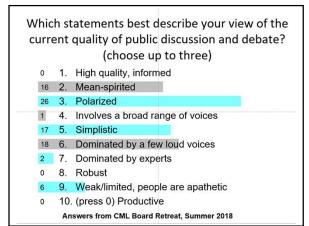




<sup>0</sup> 10. (press 0) Productive



### Tackling Wicked Problems through Deliberative Engagement

Martín Carcasson, Ph.D.

Director of the Center for Public Deliberation Professor, Department of Communication Studies



CENTER FOR PUBLIC DELIBERATION COLORADO STATE UNIVERSITY

Dedicated to enhancing local democracy through improved public communication and community problem solving

EMAIL: mcarcas@colostate.edu Twitter: @mcarcasson CPD website: cpd.colostate.edu



### CPD Projects, 2006-2019 Civic mission of schools • PSD Student Think Tank facilitator group

- Grade configuration of Poudre
- School District schools Statewide dropout rate
- Colorado Health Care Reform
- Student housing
- Improving higher education
- Childhood obesity
- Bicycle safety Diversity Dialogues at CSU Dive
- Diversity Dialogues at CSU Diversity Conference STEM education in K-12
- Arts Engagement Summit
- UniverCity Connections (CSU/Old Town collaborative project)
- School budgeting issues
- Medical Marijuana
- Regional visioning processWater and growth issues
- Poverty in Larimer County
- Air quality
  Managing data in local democracy

Larimer County Landfill/Wasteshed

Diversity and Inclusion in Fort Collins

CSU parking and affordable housing

CSU Innovation and Economic Prosperity

K-12 school improvement

student-faculty reciprocity

Issues surrounding aging

Early childhood education

Senior transportation

Campus smoking
School safety

Mental health

Nature in the City

Bullying

On campus stadium proposal

Politics of food

Improving higher education through

# **Applications to Municipalities**

Multiple Levels of Communication

- Council Engagement
- City Manager/Staff and Council
- City Government and Community
- Community Engagement

### **Overview: Three Key Arguments**

# #1 – The Basic Reality

Most of the key problems we face are best understood through a wicked problems lens

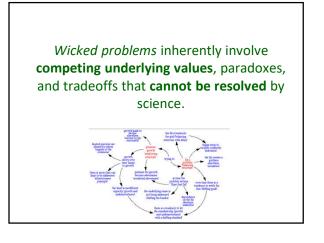
### #2 – The Bad News

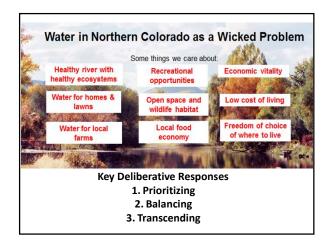
Human nature and many of our primary institutions and processes are woefully ill-suited to address wicked problems

### #3 – The Hopeful News

Once we realize #1 and #2, we can build capacity for the kinds of conversations, processes, and institutions that cultivate the wisdom so critical to addressing wicked problems, particularly at the local level







# Competing Values in Downtown Fort Collins

Aesthetics/Beauty Individual freedom Compassion Individual responsibility Diversity/Inclusivity . Individual rights Economic health/vitality Justice/Fairness Effective use of public Public health/ resources environment Equality Respect for law Excitement/fun **Respect for others** Family Safety

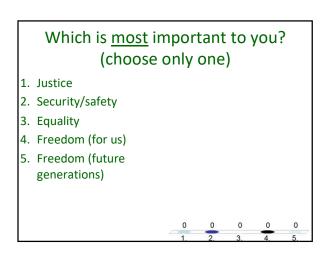


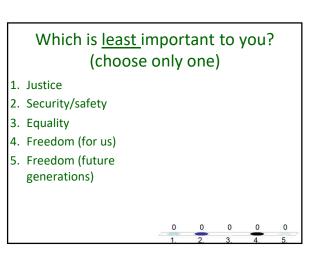


We the People of the United States, in Order to form a more perfect Union, establish Justice, insure domestic Tranquility, provide for the common defense, promote the general Welfare, and secure the Blessings of Liberty to ourselves and our Posterity, do ordain and establish this Constitution for the United States of America.

We the People of the United States, in Order to form a more perfect Union, establish **Justice**, insure **domestic Tranquility**, provide for the **common defense**, promote the **general Welfare**, and secure the Blessings of **Liberty** to ourselves and our Posterity, do ordain and establish this Constitution for the United States of America.

Key American Values						
Preamble	Current Phrasing					
Justice	Justice					
Domestic Tranquility/ Common defense	Security/Safety					
General Welfare	Equality					
Liberty to ourselves	Freedom (for us)					
Liberty for our posterity	Freedom (for future generations)					





#### **Inherent Democratic Tensions**

- Freedom and Equality (and between equality and equity)
- Our Freedom and Freedom of Future generations
- Freedom and Security
- Justice is a tension within itself (justice as the ideal between too much and too little credit or punishment)
   Some others

#### Democracy and expertise

- Short term and long term
- Individual rights and community good
- · Unity and diversity
- Cooperation and competition
- Structure and agency (or opportunity and individual responsibility)
- Flexibility/Innovation and Consistency/Tradition
- Best use of resources (money, time, people)

Wicked problems inherently involve competing underlying values, paradoxes, and tradeoffs that cannot be resolved by science.

They call for ongoing high quality communication, creativity, and broad collaborative action to manage well.



### **Overview: Three Key Arguments**

#1 – The Basic Reality

Most of the key problems we face are best understood through a wicked problems lens

#### #2 – The Bad News

Human nature and many of our primary institutions are woefully ill-suited to address wicked problems

#3 – The Hopeful News

Once we realize #1 and #2, we can build capacity for the kinds of conversations, processes, and institutions that cultivate the wisdom so critical to addressing wicked problems, particularly at the local level







# What Are We Learning from Brain Science and Social Psychology?

The Problematic

We crave certainty and consistency We are suckers for the good v. evil narrative



### What We Are Learning from Brain Science and Social Psychology?

The Problematic

We crave certainty and consistency

We are suckers for the good v. evil narrative We are "groupish" (prefer to gather with like-minded)



# What We Are Learning from Brain Science and Social Psychology?

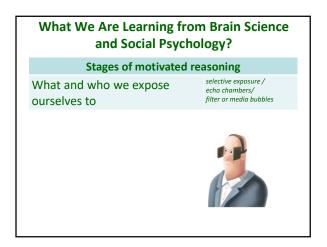
The Problematic

We crave certainty and consistency

We are suckers for the good v. evil narrative

We are "groupish" (prefer to gather with like-minded) We filter & cherry pick evidence to support our views







# **How we interpret new evidence** "when we want to believe something, we ask ourselves, 'Can I believe it?' Then...we search for supporting evidence, and if we find even a single piece of pseudo-evidence, we can stop thinking.... In contrast, when we don't want to believe something, we ask ourselves, 'Must I believe it?' Then we search for contrary evidence, and if we find a single reason to doubt the claim, we can dismiss it" • Jonathan Haidt and Tom Gilovich

# What We Are Learning from Brain Science and Social Psychology?

### Stages of motivated reasoning

What and who we expose ourselves to

selective exposure / echo chambers/ filter or media bubbles

How we interpret new evidence

confirmation bias, backfire effect, cognitive dissonance



# What We Are Learning from Brain Science and Social Psychology?

 
 Stages of motivated reasoning

 What and who we expose
 selective exposure / echo chambers/

ourselves to How we interpret new

evidence

confirmation bias, backfire effect, cognitive dissonance

filter or media bubbles

How we make attributions and tell stories

Bush: 'Too often we judge other groups by their worst examples, while judging ourselves by our best intentions'



What We Are Learning fro and Social Psych	
Stages of motivated i	reasoning
What and who we expose ourselves to	selective exposure / echo chambers/ filter or media bubbles
How we interpret new	confirmation bias, backfire effect

evidence	С
How we make attributions and	e n
tell stories	
How we make decisions	h

confirmation bias, backfire effect, cognitive dissonance egoism, illusory correlation, negativity bias

heuristics, self-serving bias, social proof

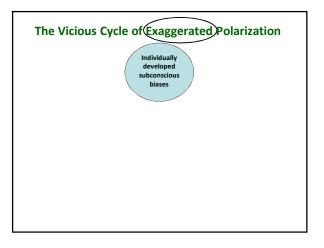
What We Are Learning from Brain Science and Social Psychology?							
Stages of motivated reasoning							
What and who we expose ourselves to	selective exposure / echo chambers/ filter or media bubbles						
How we interpret new evidence	confirmation bias, backfire effect, cognitive dissonance						
How we make attributions and tell stories	egoism, illusory correlation, negativity bias						
How we make decisions	heuristics, self-serving bias, social proof						
What we remember	availability bias						

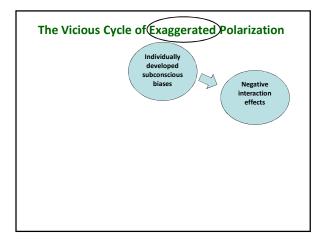
# What We Are Learning from Brain Science

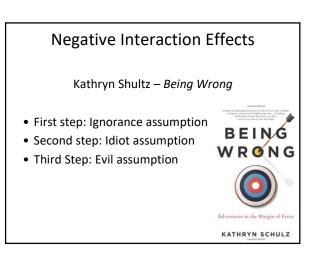
The Problematic

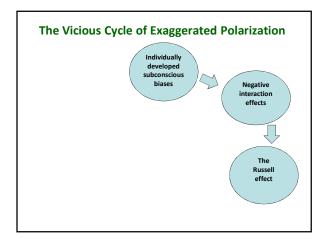
We crave certainty and consistency We are suckers for the good v. evil narrative We strongly prefer to gather with the like minded We filter & cherry pick evidence to support our views We avoid values dilemmas, tensions, and tough choices

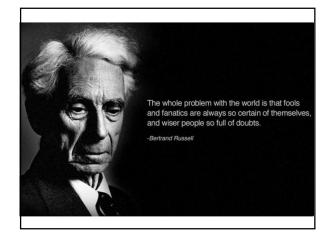


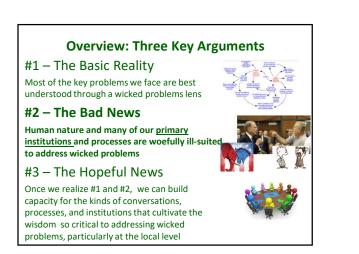


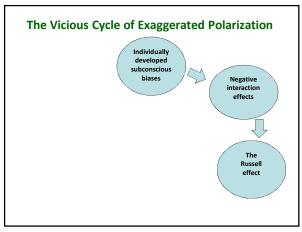


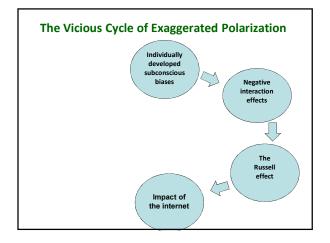


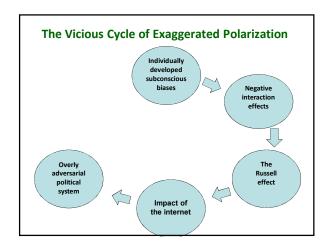












### Drawbacks of an Overly-Adversarial Political System

- Plays into flaws of human nature
- Often focuses on "winning" vs. solving problems
- Zero-sum game incentivizes "bad" communication, strategic research, and problematizes implementation
- Often focuses on blaming (them) vs. taking accountability (us)
- Relies on narrow value frames (thus avoids tensions)
- Attracts/privileges organized, entrenched voices
- Negative side effects like polarization, cynicism, and apathy (which then cause even worse communication)
- Assumes a narrow role for citizens (citizens as voters, consumers, or spectators)



# **Overview: Three Key Arguments**

#1 – The Basic Reality Most of the key problems we face are best understood through a wicked problems lens

### #2 – The Bad News

Human nature and many of our primary institutions <u>and processes</u> are woefully ill-suit to address wicked problems

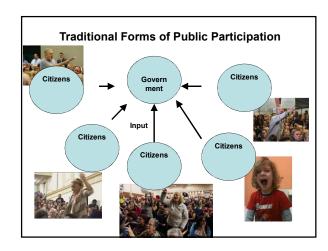
### #3 – The Hopeful News

Once we realize #1 and #2, we can build capacity for the kinds of conversations, processes, and institutions that cultivate the wisdom so critical to addressing wicked problems, particularly at the local level



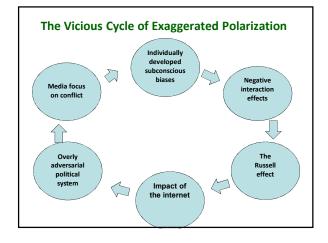
# Key Problems with our Typical Public Processes

- Engage too late in the process when issues are simply framed as "yes" or "no"
- Primarily provide opportunities for individual or group expression



# Key Problems with our Typical Public Processes

- Engage too late in the process when issues are simply framed as "yes" or "no"
- Primarily provide opportunities for individual or group expression
- Caters to entrenched and organized voices
- Little to no effective interaction or learning/refinement of opinion



### Why Experts Can't Save Us (though they can certainly help when used well)

### • Good data is undermined in a polarized environment

- Facts don't change minds or behavior
- Experts by definition are focused on a specific, narrow aspect of the problem (i.e. they struggle with wicked problems).
- Experts often focus on being "value free" (they tell us what *is* or what *could* be, not what *should* be)
- Expert perspectives can overemphasize what can be measured and underemphasize what cannot
- Expert dominated processes shut out the public



# **Overview: Three Key Arguments**

#### #1 – The Basic Reality

Most of the key problems we face are best understood through a wicked problems lens

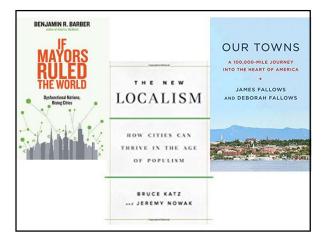
#### #2 – The Bad News

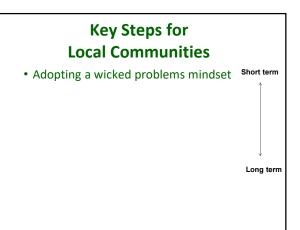
Human nature and many of our primary institutions are woefully ill-suited to address wicked problems

#### #3 – The Hopeful News

Once we realize #1 and #2, we can build capacity for the kinds of conversations, processes, and institutions that cultivate the wisdom so critical to addressing wicked problems, particularly at the local level







# **The Wicked Problems Mindset**

- Presume wicked problems, not wicked people
- Become more comfortable with uncertainty
- Focus on elevating the conversation not just winning the argument

# Traditional v. Facilitative Leadership

### Traditional

• Strong opinion

#### • Charisma

- Public speaking skills
- Mobilization of the like-minded

# Facilitative

- Strong on process
- Trust and respect
- Facilitation skills
- Collaboration between broad perspectives

# **The Wicked Problems Mindset**

- Presume wicked problems, not wicked people
- Become more comfortable with uncertainty
- Focus on elevating the conversation not just winning the argument
- Put your energy toward identifying, engaging, and negotiating inherent tensions

### Inherent Democratic Tensions

- Freedom and Equality (and between equality and equity)
- Our Freedom and Freedom of Future generations
- Freedom and Security
- Justice is a tension within itself (justice as the ideal between too much and too little credit or punishment)
  - Some others
- Democracy and expertise
- Short term and long termIndividual rights and community good
- Unity and diversity
- Cooperation and competition
- Structure and agency (or opportunity and individual responsibility)
- Flexibility/Innovation and Consistency/Tradition
- Best use of resources (money, time, people)

# **The Wicked Problems Mindset**

- Presume wicked problems, not wicked people
- Become more comfortable with uncertainty
- Focus on elevating the conversation not just winning the argument
- Put your energy toward identifying, engaging, and negotiating inherent tensions
- Work toward creating a learning community

# Key Steps for Local Communities

- Adopting a wicked problems mindset Short term
- Better processes tap into different aspects of human nature

Long term

# What We Are Learning from Brain Science and Social Psychology

The Problematic

- We crave certainty and consistency
- We are suckers for the good v. evil narrative We strongly prefer to gather with the like minded We filter & cherry pick evidence to support our views We avoid values dilemmas, tensions, and tough choices



# What We Are Learning from Social Psychology and Brain Science

### The Good

We are inherently social and seek purpose and community We are inherently empathetic

We are inherently pragmatic and creative

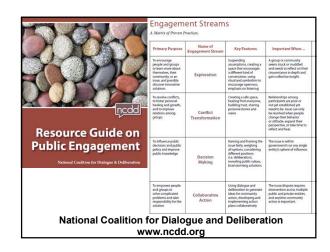
We can overcome our bad tendencies and build better habits



## What We Are Learning from Social Psychology and Brain Science

Bottom line: The most powerful thing to help people overcome their biases and tackle wicked problems well is genuine conversation with people they respect.





# The Four Key Shifts of Deliberative Engagement • From wicked people → to wicked problems • From adversaries → to collaborators • From inciting the worst of human nature → to bringing out the best of human nature • From facts as cherry picked ammunition or "fake news" → to facts as tools for addressing problems together



### What is Deliberative Engagement?

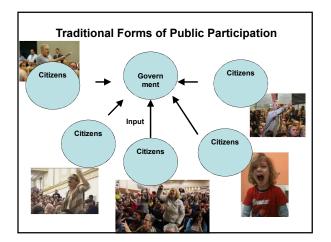
Deliberative democracy Community problem-solving Collaborative problem-solving Participatory decision-making Slow democracy Strong democracy Multi-stakeholder dispute resolution Public participation Organic or community politics

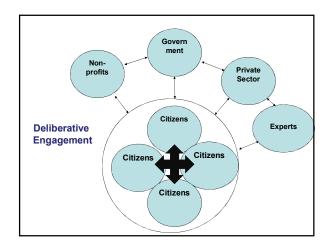
Consensus building or seeking processes

#### **Key Components of Deliberative Engagement**

- Overall deliberative framing
  - Wicked problem, multiple approaches, broad range of actors, starting discussion "upstream" (before polarization)
- Discussion guides/backgrounder
  - Base of information, something to react to, framed for deliberation, not persuasion
- Small, diverse, representative groups
- Processes designed for interaction and learning
- Deliberative facilitators



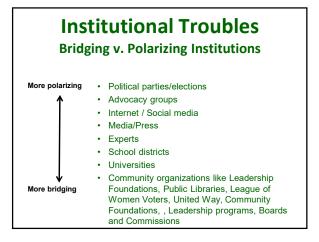


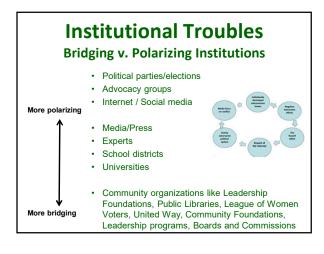






	Traditional citizen involvement	Democratic governance
Who is responsible for solving public problems?	Governments	Whole community – governments, citizens, businesses, community organizations of all kinds
What are the criteria for "good government?"	Openness and efficiency	Ability to work with the public – identifying priorities, marshalling a variety of resources, achieving tangible changes, and reporting on your progress
How should governments recruit citizens?	Public officials call meetings, use media for outreach	Proactive, network-based recruitment by governments and other groups, reaching large numbers and different kinds of people
How should issues be discussed?	Public officials 'sell' the policy they	Basic background information provided, range of views laid on the







# Brennan's Against Democracy

Three types of citizens:

- Hobbits
- Hooligans

• Vulcans

Wise collaborators



# Overview: Three Key Arguments #1 – The Basic Reality Most of the key problems we face are best understood through a wicked problems lens

### #2 – The Bad News

Human nature and many of our primary institutions are woefully ill-suited to address wicked problems

# #3 – The Hopeful News

Once we realize #1 and #2, we can build capacity for the kinds of conversations, processes, and institutions that cultivate the wisdom so critical to addressing wicked problems, particularly at the local level





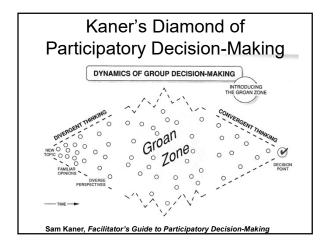
# In the End, We Must Elevate the Conversations in our Communities

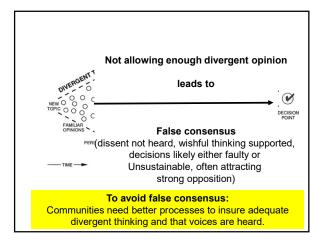
- Build capacity for **collaborative action** and co-creation
- Spark collaborative learning and the refinement (not just expression) of opinion
- Help **differentiate** good and weak arguments
- Positively manage conflict, build mutual understanding, and develop respect
- Support listening and genuine interaction
- Provide opportunities for **voice** and public input

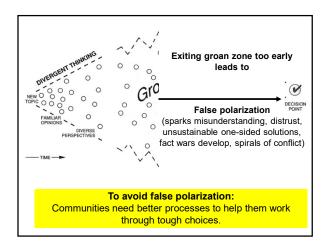


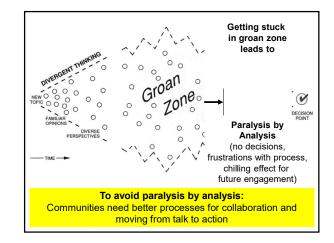
NCDD Engagement Streams
Kaner's Diamond of Participatory Decision Making

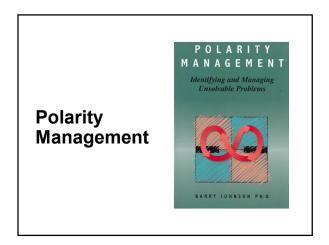
Polarity Management





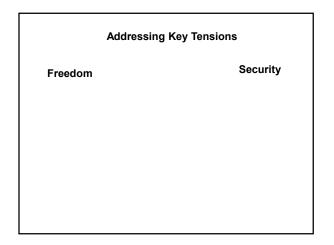


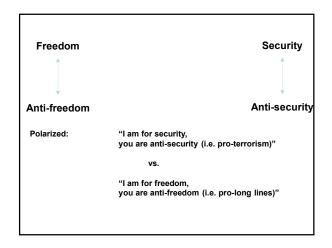


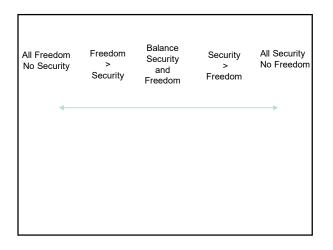


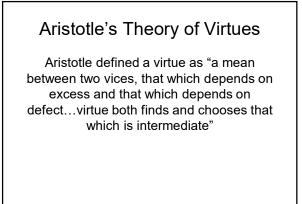


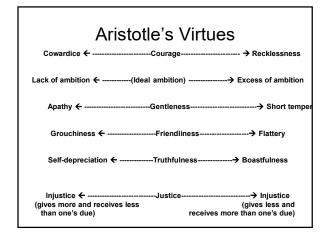
Best use of resources (money, time, people)

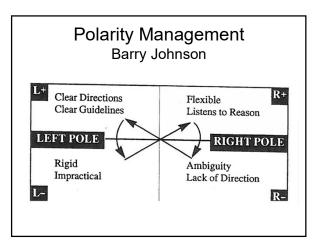












#### **Polarity Management**

The Case for Consistency Dependable, Clarity, Allowing comparisons, Tradition, Principled, Fair, Just, Reliable, Steady, Standards, Measurability The Case for Flexibility Innovation, Adaption, Individuality, Creativity, Outside the Box thinking, Pragmatic, Thinking on your feet

The Case for Consistency	The Case for Flexibility
Dependable, Clarity, Allowing comparisons, Tradition, Principled, Fair, Just, Reliable, Steady, Standards, Measurability	Innovation, Adaption, Individuality, Creativity, Outside the Box thinking, Pragmatic, Thinking on your feet
When Consistency dominates Flexibility	When Flexibility dominates Consistency
-	•

#### The Case for Consistency Dependable, Clarity, Allowing comparisons, Tradition,

Principled, Fair, Just, Reliable, Steady, Standards, Measurability

# When Consistency dominates Flexibility ...

Dogmatic, Stubborn, Unaccommodating, Stiff, Simplistic, Stuck in the past, Uninspired, Rigid, Soulsucking, Obstinate

# The Case for Flexibility

Innovation, Adaption, Individuality, Creativity, Outside the Box thinking, Pragmatic, Thinking on your feet

#### When Flexibility dominates Consistency ...

Wishy-washy, Ambiguous, Inconsistent, Erratic, Untrustworthy, Irregular, Unreliable,

# Steps in the Basic Exercise

- · Polarity or tension is identified and named
- In groups, brainstorm the positives for each end of the polarity one at a time, making the best possible case
- Groups then complete the out of balance problematic alternatives
- Groups can then potentially combine or compare their work
- Conversation can then focus on responding to the tension

# Responding to Key Tensions

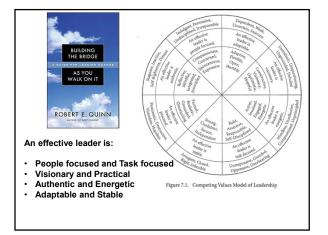
- Recognize tension, still **prefer** one side while accepting the tradeoffs. That preference may be purposefully short-term, with a corresponding focus on nimbleness
- Recognize tension, seek **balance** (which may mean moving in one direction or the other, seeking compromise)
- Recognize tension, seek to **transcend or integrate** tension through innovation (seeking win-win)
- Recognize tension, allow different groups to seek
   alternative ends
- · Disagree with tension

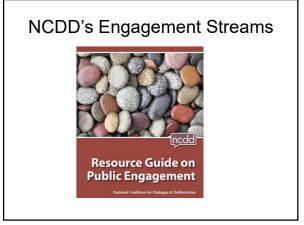
# PM and CPD processes

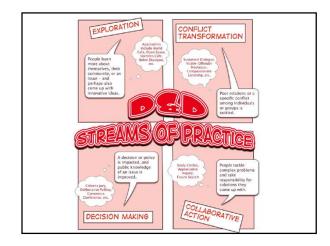
- Superintendent search (strong leader and collaborator)
- Local food cluster (top down and bottom up)
- Neighborhood associations (formal and informal)
- Local church on gay rights issue (truth and grace)
- Elementary school flexibility and consistency
- Mathews House (non profit working with children exiting out of foster care) - self care and client care, individual responsibility and opportunity (agency and structure)

Polarities in "The	Fun	dame	ntal State of Leader	ship" 10 Polari	ties in	"Level	5 Leadership"
From Building The Br	idge A	ts You F	Talk On It by Robert E. Quint	From	n Good I	Great b	y Jim Collins
Spontaneous; Expres	sive	AND	Self-disciplined; Response	ible	Self	AND	Organization
Compassionate; Concer	ned	AND	Assertive; Bold	W	Willfulness		Humility
Mindful; Reflect	tive	AND	Active; Energetic		Debate		Unity
Principled; Integra	ited	AND	Engaged; Involved		Candor		Diplomacy
Realistic; Question	ning	AND	Optimistic; Constructive		Reality	AND	Faith
Grounded; Fac	tual	AND	Visionary; Hopeful	Deep Under	standing	AND	Simplicity
Confident; Sec	ure	AND	Adaptive: Flexible	D	iscipline	AND	Entrepreneurship
Independent; Str	ong	AND	Humble; Open	Technolo	gy Fads	AND	Pioneering
				Evo	lutionary	AND	Revolutionary
12 Leadership Polarities		Prese	rve Core	AND	Stimulate Change		
From Polarity	Mana	gement	Associates				
Conditional Respect	AND		conditional Respect	8 Leaders	ship Po	laritie	s
Task	ANE		ationship	From Lost in Transition by Richard Elsner & Bridget Farrands			Bridget Farrands
Candor	ANE		lomacy	To shake things up	AND	To prese	erve
Responsibility	ANE		edom	To be open to events	AND		tentional about results
Confidence	AND		mility	To develop bonds	AND	To keep	distance
Analysis	AND		couragement	To seek help to learn about		To give value by showing h	
Control	AND		powerment	the organization	AND		eed or avoid failure
Grounded	AND	D Vis	ionary	To impose	AND	To facilit	tate
Structure	AND	D Fle	xibility	To go fast to perform	AND	To go si	low to prepare
Logic	ANG	D Cre	ativity	To clean out	AND	To deve	lop
Individual	AND	D We	rk Group	To support the team	AND		e the hierarchy and/or
Planning	AND	D Im	elementation			the wid	ler organization

		lizational Polarities agement Associates	1 Organiza From Built to Las		
Centralized Coordinat Recognize the Indivic Reduce C Competing with Oth Statis Celebrating Our Differen Care for My Part of the Organizat Showing Reapect for Every Per Getting the Job Done (ta Taking Care of the Organizat W W	ual ANE ost ANE ers ANE lity ANE ves ANE on ANE on ANE sk) ANE	Recognize the Team Improve Quality Collaborating with Others Change Celetrating Our Commonalities Care for the Whole Organization Showing Respect Based on Performan Building Relationships Taking Care of the Customer	From 1 by Domini Profitability roe Today	ation The Thre c Dodd ( AND ( AND	al Polarities e Tensions & Ken Favaro
From Managing on	he Edge b	al Polarities y Richard Tanner Pascale ce" from <i>In Search of Excellence</i> )	10 Strategic Ma From Strategy Synthes Logic Deliberateness		
Strategy_Plann Structure_Eit Systems_Mandatc Style_Mranager Staff_Collegial Shared Values_Hard Min Skills_Maximi	st AND ry AND al AND ty AND Is AND	Opportunistic Pluralistic Discretionary Transformational Individuality Soft Hearts Meta-mize	Revolution Markets Responsiveness Competition Compliance Control Globalization Profitability	AND AND AND AND AND AND AND AND	Evolution Resources Synergy Collaboration Choice Chaos Localization Responsibility







Primary Purpose To encourage people and groups to learn more about themselves, their community, or an issue, and possibly discover innovative solutions		Name of Engagement St	ream	Key Features		Important When			
		Exploratio	Exploration		ting a ages g sm to ess, aing	A group or community seems stuck or muddled and needs to reflect on the circumstance in depth and gain collective insight.			
Examples of Issues		Organizer's Strategy				propriate D&D Processes		Key Design Questions for Organizers	
Strengthening democracy, understanding a community of practice	To encourage new insights and connections to emerge by creating a space for people to share their thoughts, feelings and perspectives.		Inter the c Coun Circle World Space	Intergroup Dialogue in the classroom, Wisdom Council, Wisdom Circles, Socrates Café,		v can we ensure that people safe expressing what inspires touches them? What kind of niques or rituals will stimulate ning and sharing, without ing people uncomfortable?			

Primary Purp	oose	Name of Engagement Stream		Key Features		Important When	
To resolve conflicts, to foster personal healing and growth, and to improve relations among groups		Conflict Transformation		Creating a safe space, hearing from everyone, building trust, sharing personal stories and views		Relationships among participants are poor or not yet established yet need to be. Issue can only be resolved when people change their behavior or attitude, expand their perspective, or take time to reflect and heal.	
Examples of Issues		Organizer's Strategy	App	propriate D&D Processes		Key Design Questions for Organizers	
Political polarization, Jewish-Muslim relations, race relations, value-based conflicts, healing after crises or trauma	for p diffe about expense hear the g	reate a safe space reople with rent views to talk ut their personal rriences and feel d. Often, to set groundwork for beration and on.	Interg comm Offen PCP c Comp	Intergroup Dialogue in communities, Victim- Offender Mediation, are p PCP dialogue, issue Compassionate (heal Listening effect		can the issue be framed so all sides are brought to - and welcomed at the table? What ecopie's needs relating to this and how can divergent needs ing, action, respect) be met tivel? If a conflict exists, how rand volatile is it? How, if at all out transition people to "what's ?	

Primary Purpose To influence public decisions and public policy and improve public knowledge		Name of Engagement Stream Decision Making		Key Features Naming and framing the issue fairly, weighing all options, considering different positions (i.e. deliberation), revealing public values, brainstorming solutions		Important When The issue is within government; (or any single entity's) sphere of influence.	
Budgeting, land use, health care, social security	To involve a representative group of citizens in thorough discussions about complicated policy issues. Ideally, the process is linked to policy making.		Foru Delit 21st Meet Citiz	onal Issues ms, Citizens Jury, berative Polling, Century Town ting, Charrettes, en Choicework, iensus Conference	the p stake recru can p proce What devel	can we best represent ublic (random selection, holder representation, holder representation, ublic officials participate in the ss side-by-side with citizens? kinds of materials need to be loped or obtained? How can we re that this process influences	

Primary Purp	ose		Name of Key Features		Important When		
To empower people and groups to solve complicated problems and take responsibility for the solution		Collaborative Action		Using dialogue and deliberation to generate ideas for community action, developing and implementing action plans collaboratively		The issue/dispute requires intervention across multiple public and private entities, and anytime community action is important.	
Examples of Issues	Organizer's Strategy					Key Design Questions for Organizers oneeds to be at the table? t kind of power dynamics a laready? What group/leader/ tution is most resistant to greg? What group tends not to t the table, although they're ted?	
Regional sprawl, institutional racism, youth violence, responding to crises	inte ami stal org	To encourage integrated efforts among diverse stakeholders, sectors, organizations, etc. involved in the issue.		Search, Appreciative Wha Inquiry exist instii chan			